

# Innovative Nano-structuring Routes for Novel Thermoelectric Materials; Phonon Blocking & DOS Engineering

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**1<sup>st</sup> approach;**

Atomic scale engineering

**“Electron – Phonon Coupling”**

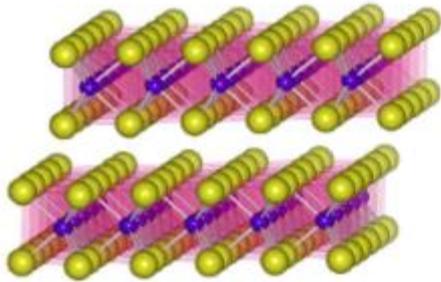
**2<sup>nd</sup> approach;**

Nano-scale engineering

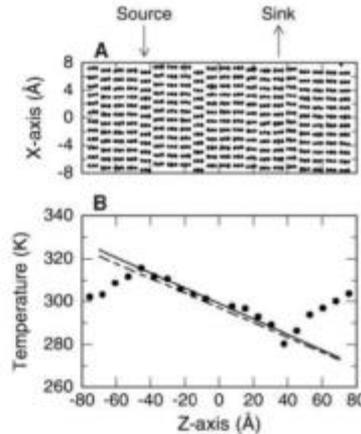
# Lattice distortion (2-D) system for low $\kappa$

## Extreme low thermal conductivity in disordered & layered structure

### WSe<sub>2</sub>



Crystal structure of WSe<sub>2</sub>



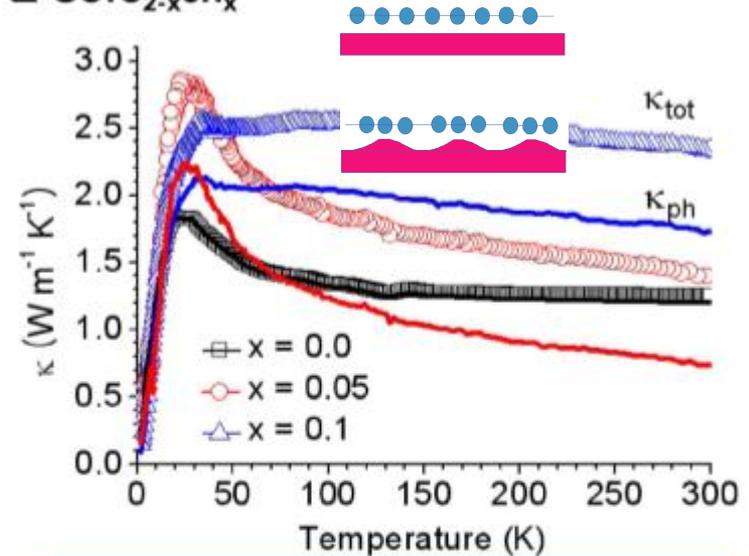
MD simulation of disordered & layered structure

#### Extreme low thermal conductivity of WSe<sub>2</sub>

- Thin film: 0.04 W/m·K @300K
- Random stacking of 2-D crystalline sheet
- Low thermal conductivity in CDW?  
(CDW: Charge Density Wave)

C. Chiritescu et al. *Science* **315**, 351 (2007)

### CeTe<sub>2-x</sub>Sn<sub>x</sub>



#### Very low thermal conductivity

- Low lattice conductivity  $\kappa_{ph}$
- $\kappa$  is comparable to that of Bi<sub>2</sub>Te<sub>3</sub>

#### Low $\kappa_{ph}$ : originated from the CDW

- in-plane disordered crystalline of CeTe<sub>2</sub>

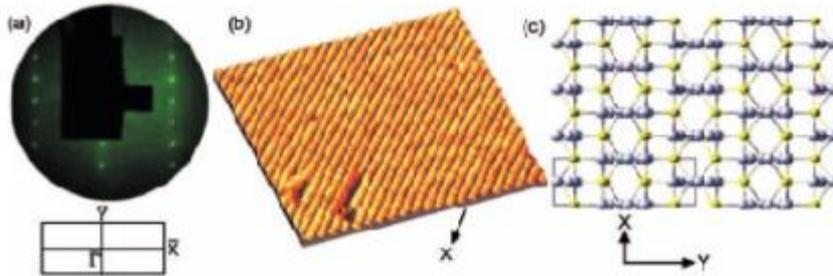
*J. Appl. Phys.* **107**, 053705 (2010)

*J. Appl. Phys.* **105**, 053712 (2009)

# Quasi 1-D structure for low $\kappa$ & large $S$

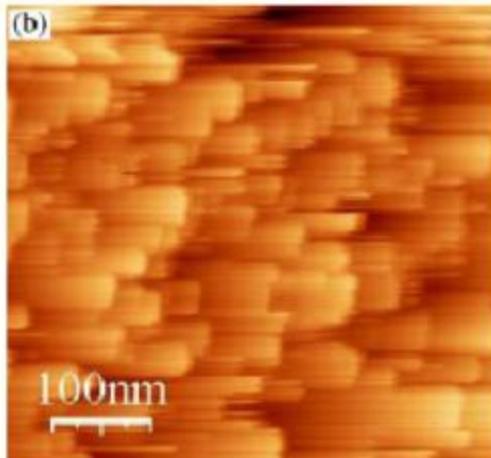
## Quasi 1D structure of $\text{In}_4\text{Se}_3$

### Anisotropic electrical transport of $\text{In}_4\text{Se}_3$



Quasi one dimensional In chain in  $\text{In}_4\text{Se}_3$  (100) plane

Y. B. Losovyj et al. *Appl. Phys. Lett.* (92) 122107 (2008)

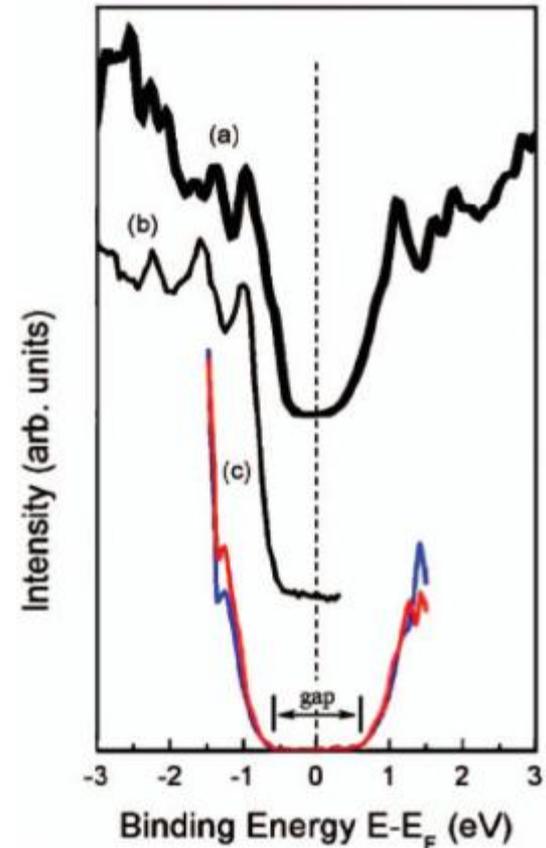


STM picture of the  $\text{In}_4\text{Se}_3$  single crystal

Nano wire-like structure along the cleaved (100) surface

O. A. Balitskii et al. *Physica E* **22**, 921 (2004)

$\text{In}_4\text{Se}_3 \rightarrow \text{doping} \rightarrow \text{gap} \downarrow$

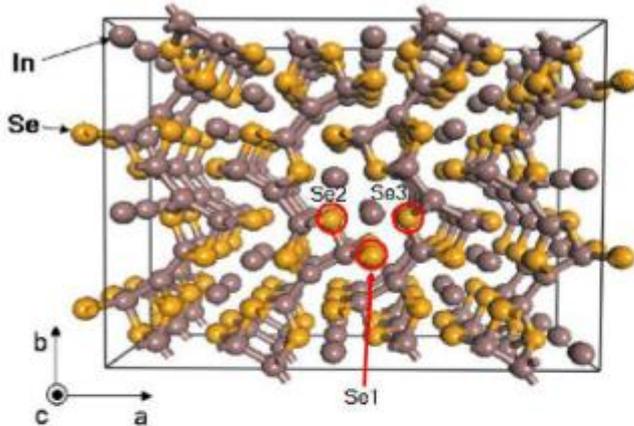


(a) Theory, (b) Photoemission, and (c)  $dI/dV$  measurements of  $\text{In}_4\text{Se}_3$

# Quasi 1-D structure for **low $\kappa$** & **large $S$**

## ■ Quasi 1D structure & electronic transport of $\text{In}_4\text{Se}_{3-x}$

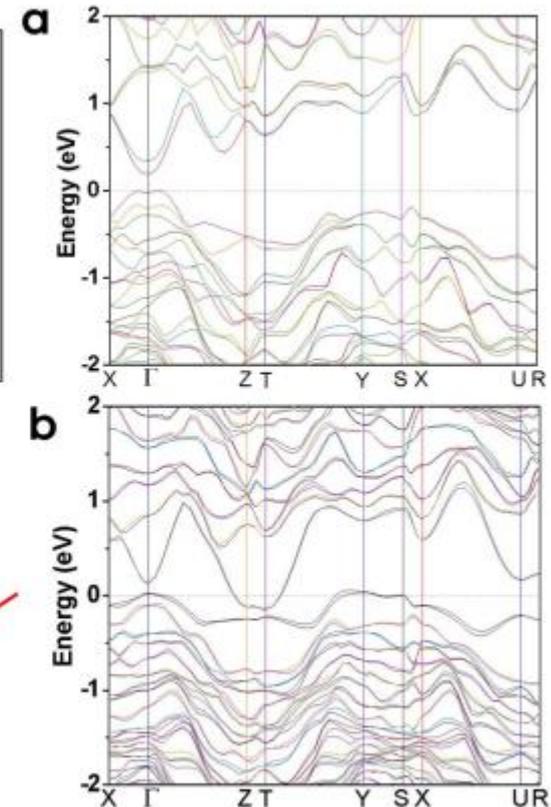
### □ Anisotropic electrical transport of $\text{In}_4\text{Se}_3$



$a$ -axis:  
Z-T, S-X, U-R

$b$ -axis:  
X- $\Gamma$ , Y-S

$c$ -axis:  
 $\Gamma$ -Z, T-Y, X-U



Electronic band structure of (a)  $\text{In}_4\text{Se}_3$  and (b)  $\text{In}_4\text{Se}_{3-x}$  ( $x=0.25$ )

◆ Semiconducting band gap of 0.2 eV in  $\text{In}_4\text{Se}_3$

◆ Semi-metallic character of  $\text{In}_4\text{Se}_{3-x}$  ( $x=0.25$ )

van der Waals gap along the  $a$ -axis  
localized hole band along the  $b$ -axis  
highly dispersed electron band along the  $c$ -axis

◆ Quasi 1-D electronic transport

- Possible emergence of **Peierls distortion** in  $\text{In}_4\text{Se}_{3-x}$

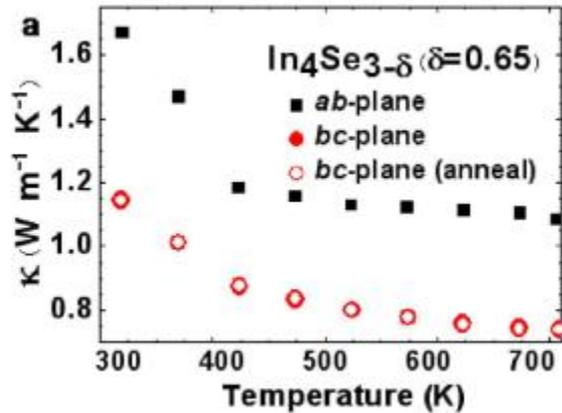
*Appl. Phys. Lett.* 97, 152104 (2010)

*Appl. Phys. Lett.* 95, 212106 (2009)

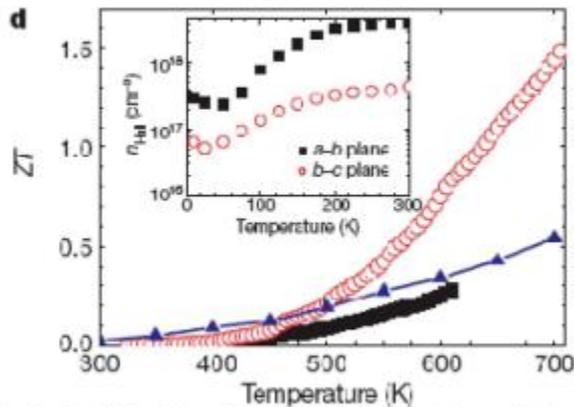
# Extreme **low $\kappa$** by Peierls distortion: $\text{In}_4\text{Se}_{3-x}$

## Thermoelectricity & Peierls distortion in $\text{In}_4\text{Se}_{3-\delta}$

### Thermoelectric properties of $\text{In}_4\text{Se}_{3-6}$

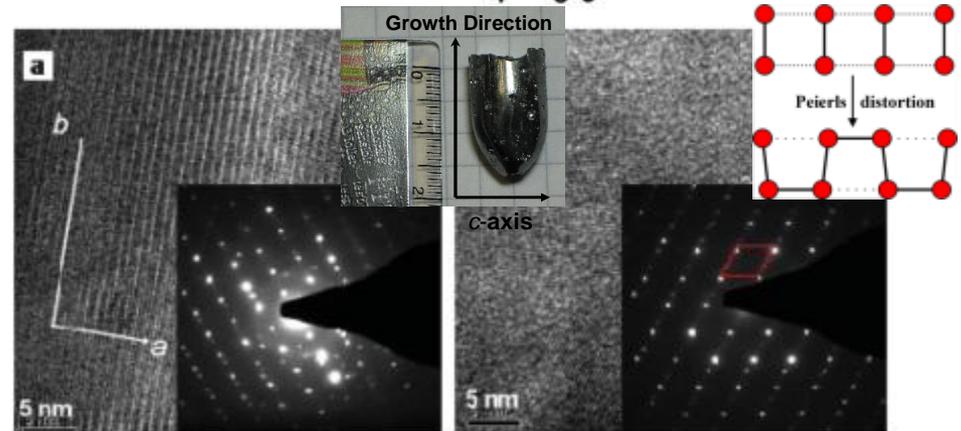


Thermal conductivity of  $\text{In}_4\text{Se}_{3-6}$

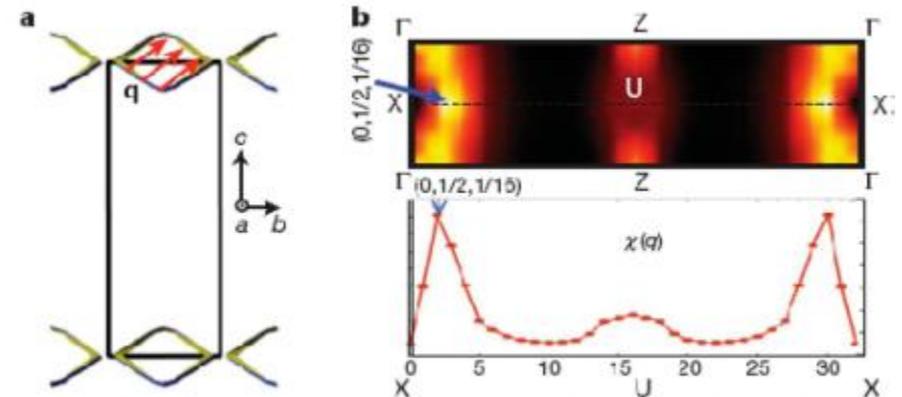


High  $ZT$  (1.48 at 705 K) in  $\text{In}_4\text{Se}_{3-6}$  ( $\delta=0.65$ )

### Peierls distortion in $\text{In}_4\text{Se}_{3-6}$



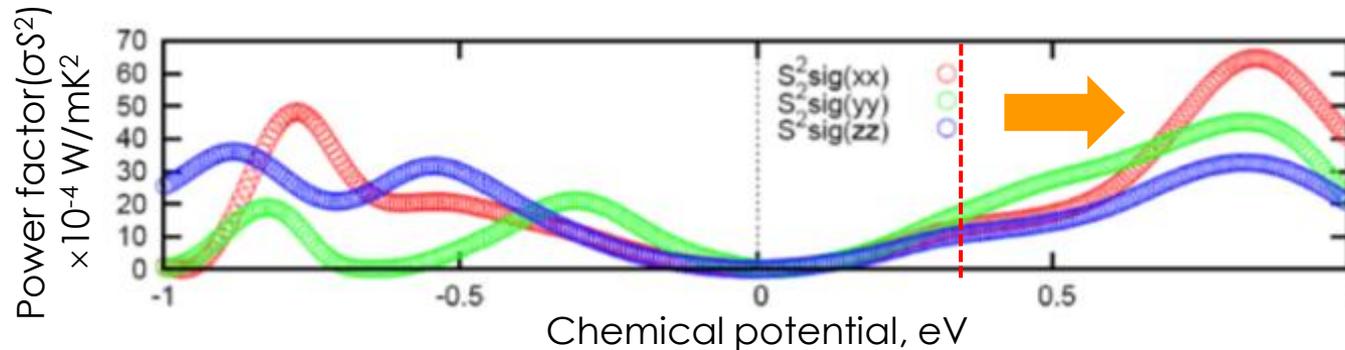
Quasi-one-dimensional lattice distortion in  $\text{In}_4\text{Se}_{3-6}$



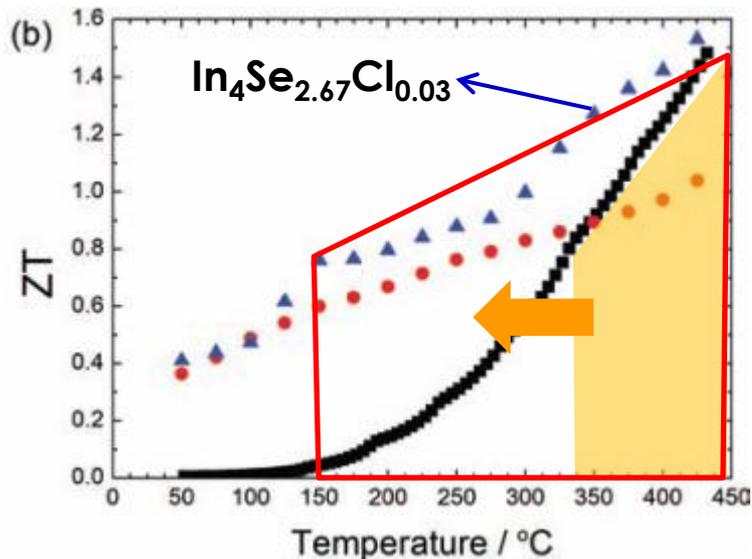
Fermi surface and Charge modulation in  $\text{In}_4\text{Se}_{3-6}$

# Enhancement of $ZT$ / Evidence for Peierls distortion

## Boltzman transport calculation at 600 K

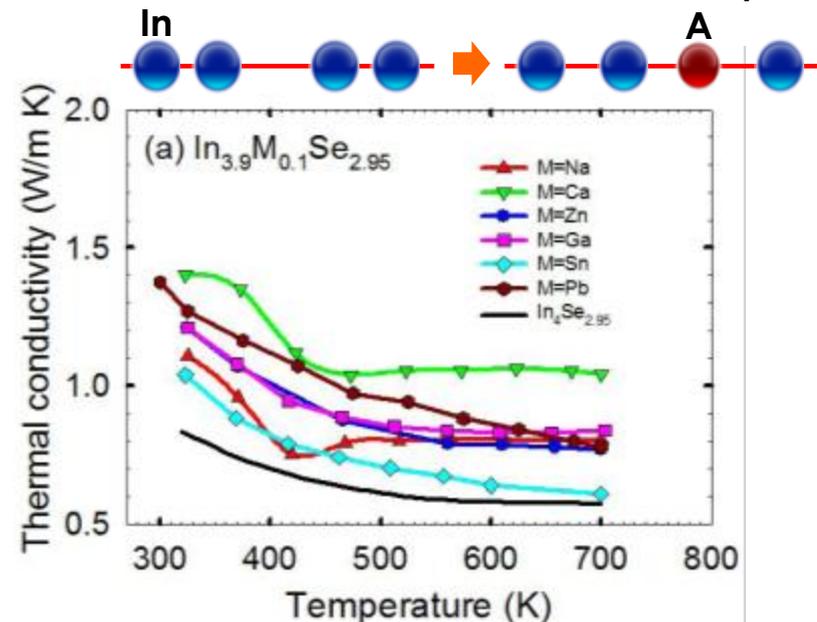


## Anion(Se)-site doping: $\text{In}_4\text{Se}_{3-x-y}\text{H}_y$ (H = H, Cl, I, Br)



*Adv. Mater.* 23, 2191 (2011)  
*J. Mater. Chem.* 22, 5730 (2012)

## Cation(In)-site doping: $\text{In}_{4-x}\text{A}_x\text{Se}_{3-y}$



*Appl. Phys. Lett.* 99, 102110 (2011)

# Summary

■ Charge density wave is an effective way to realize the disordered and layered structure with extremely low lattice thermal conductivity.

■ Peierls distortion is a new way of thermoelectric materials development.

1) High thermoelectric performance

-  $\text{In}_4\text{Se}_{3-x}$  : high thermoelectric figure-of-merit ( $ZT = 1.48 @ 705\text{K}$ )

2) Enhanced  $ZT$  over a wide temperature range (300K – 705K) has been obtained by **chemical potential positioning**.

3) Thermal conductivity reduction by Peierls distortion was experimentally verified.

■ Seebeck coefficient enhancement was achieved by orbital hybridization.

1) High density of states near the Fermi level

- Localized  $f$ -band can be tuned by  $dp$ -hybridization strength control in transition metal doped rare-earth dichalcogenide systems.

**1<sup>st</sup> approach;**

Atomic scale engineering

**2<sup>nd</sup> approach;**

Nano-scale engineering

**“Interface Engineering for Bi(Sb)-Te(Se)”**

**BST : p-type  $(\text{Bi,Sb})_2\text{Te}_3$**

**BTS : n-type  $\text{Bi}_2(\text{Te,Se})_3$**

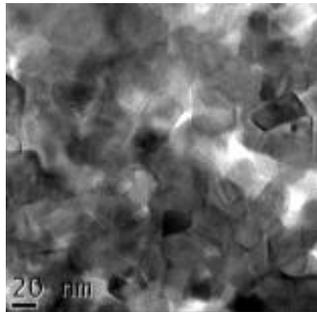
# $\kappa$ reduction : Strategy Overview

● Lattice thermal conductivity ( $\kappa_{\text{latt}}$ ) reduction by interface phonon scattering

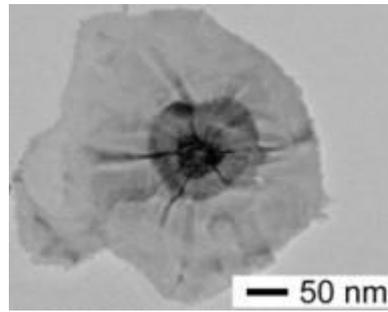
$\kappa_{\text{latt}}$  0.6 ————— 0.4 ————— 0.32 —————> 0.25

Incoherent interface  
Grain-boundary

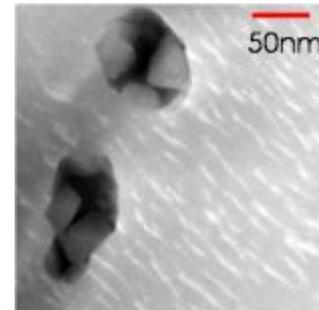
Nanoparticle



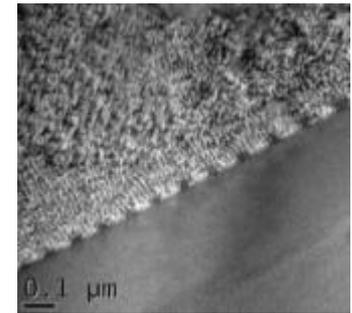
Nanoplate



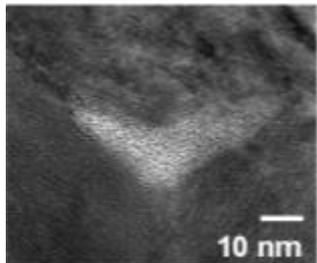
Coherent interface  
(strain)



Semi-coherent  
Interface  
(dislocation)



Surface (nanophase)

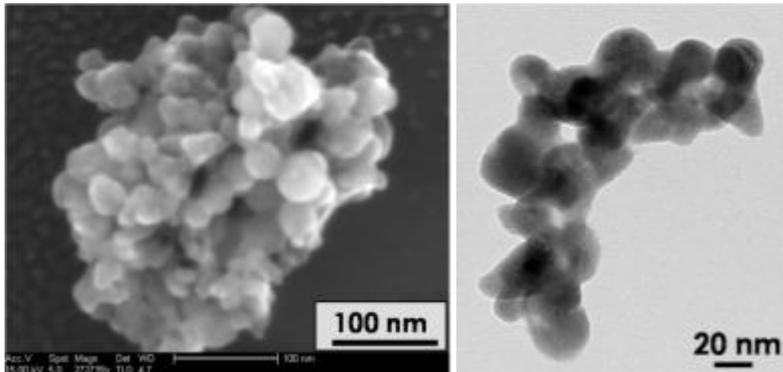
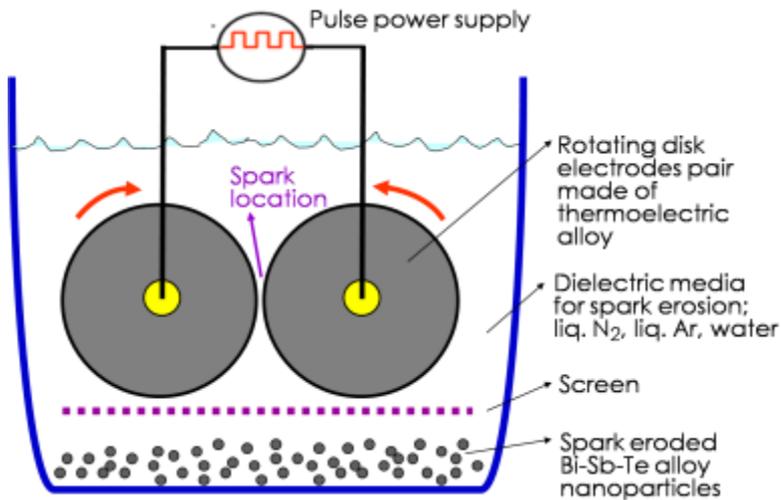


ZT 1.0 ————— 1.4 ————— 1.6 —————> 1.75

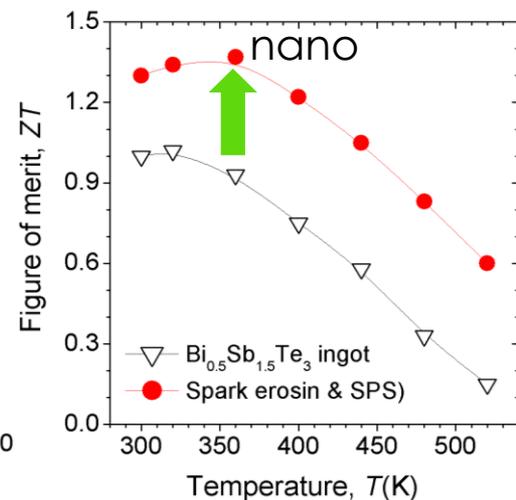
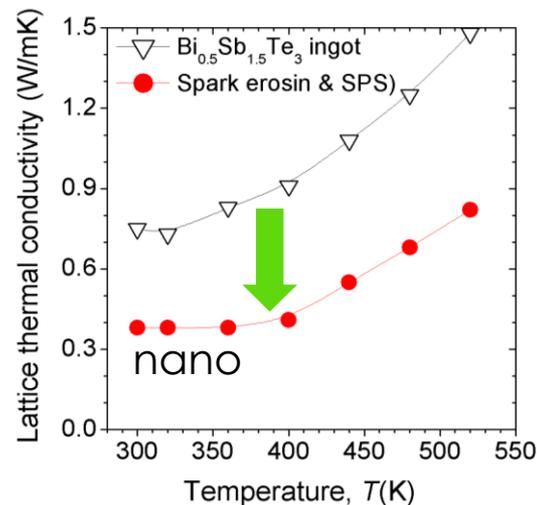
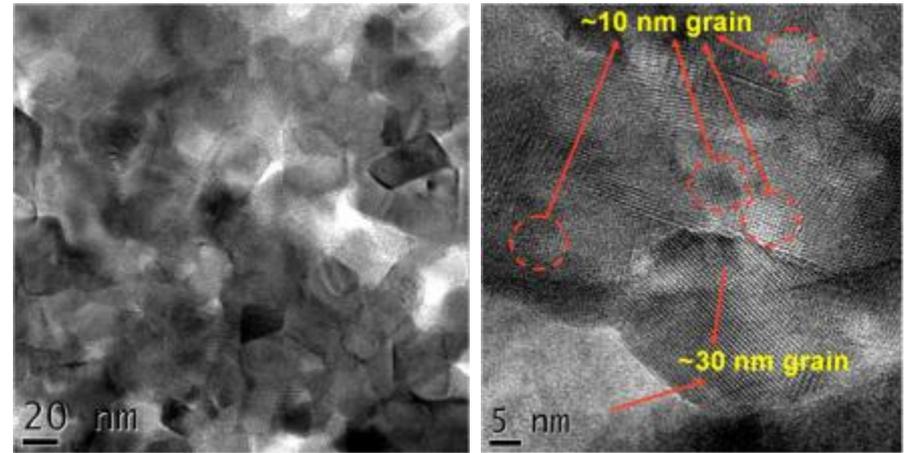
# $\kappa$ reduction: experimental limit by nano-grain approach

Phonon scattering by grain boundary  $\rightarrow \kappa(\text{lattice}) \sim 0.4 \text{ W/mK} \rightarrow ZT \sim 1.4$

BST nano-powder by spark erosion



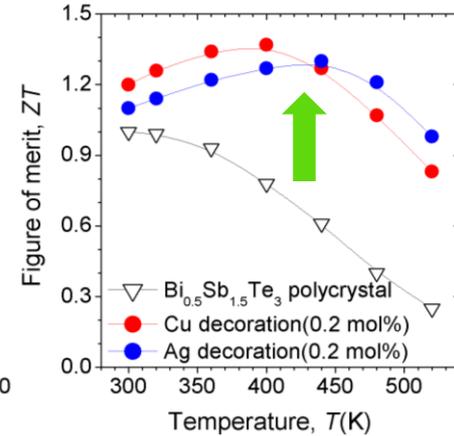
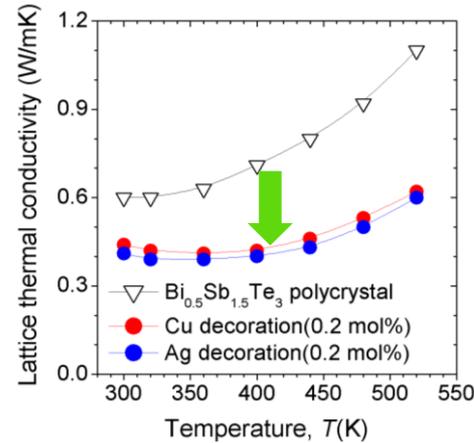
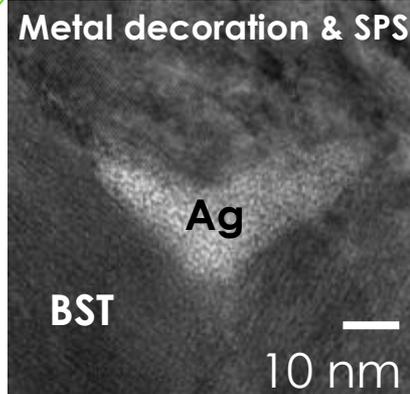
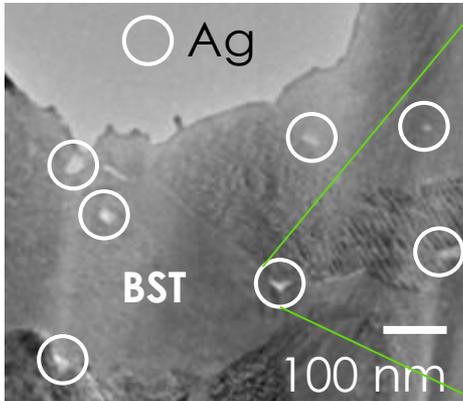
Bulk with nano-grain (spark plasma sintering)



submitted

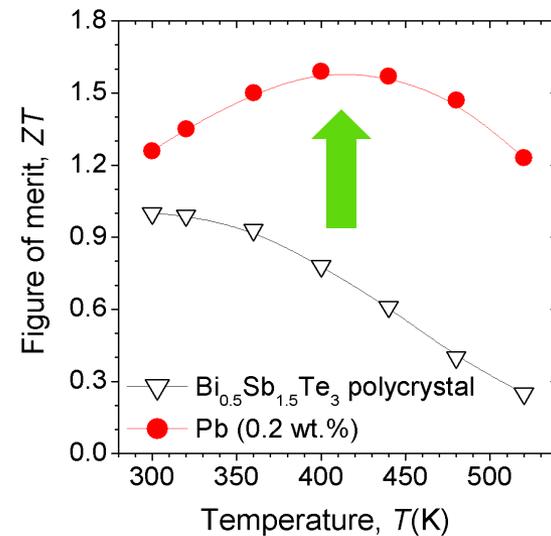
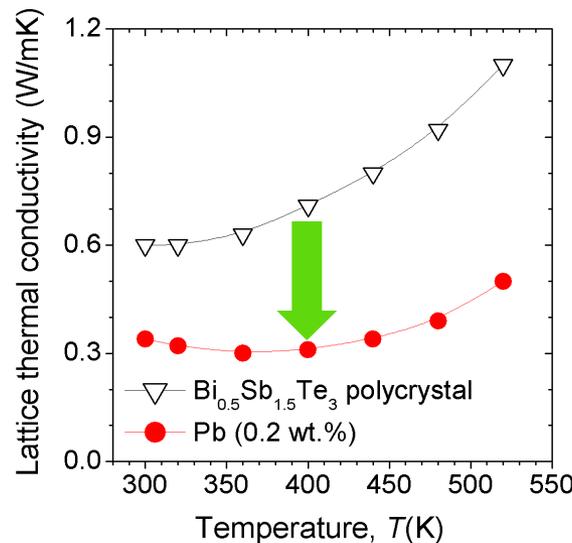
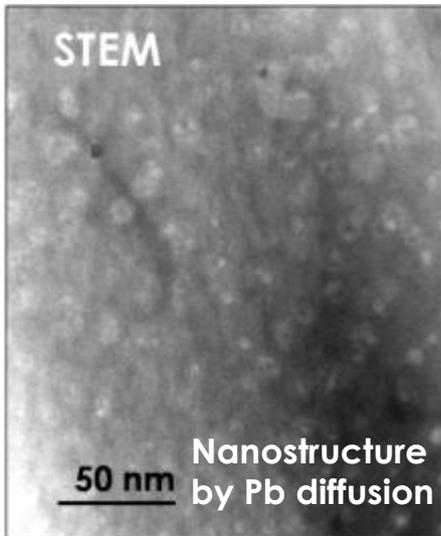
# $\kappa$ reduction: interface vs. phonon scattering

**Incoherent interface**  $\rightarrow \kappa_{(\text{lattice})} \sim 0.4 \text{ W/mK} \rightarrow ZT \sim 1.35$



in press (J. Elec. Mater.)

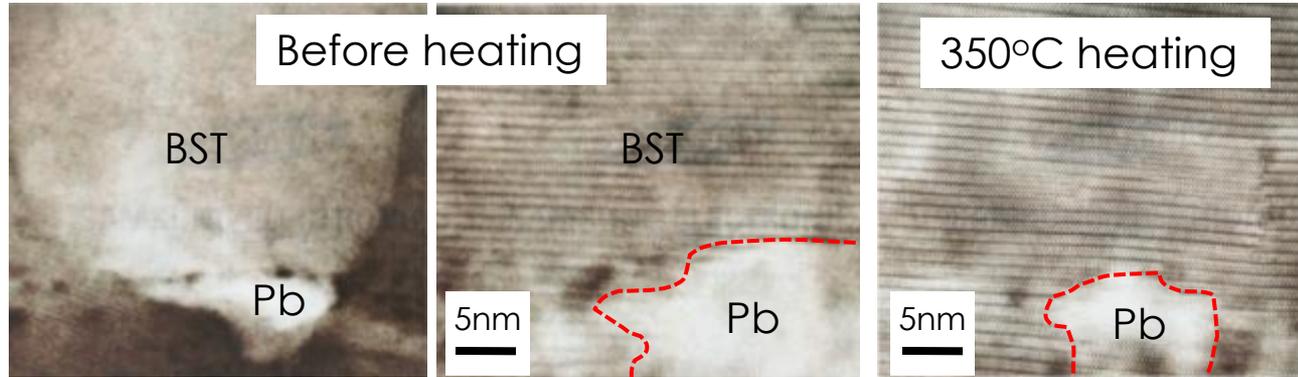
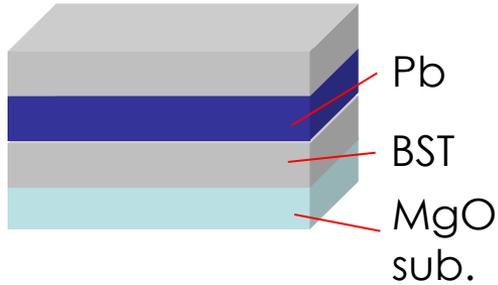
**Coherent interface (strain)**  $\rightarrow \kappa_{(\text{lattice})} \sim 0.3 \text{ W/mK} \rightarrow ZT \sim 1.5$



Ongoing Research

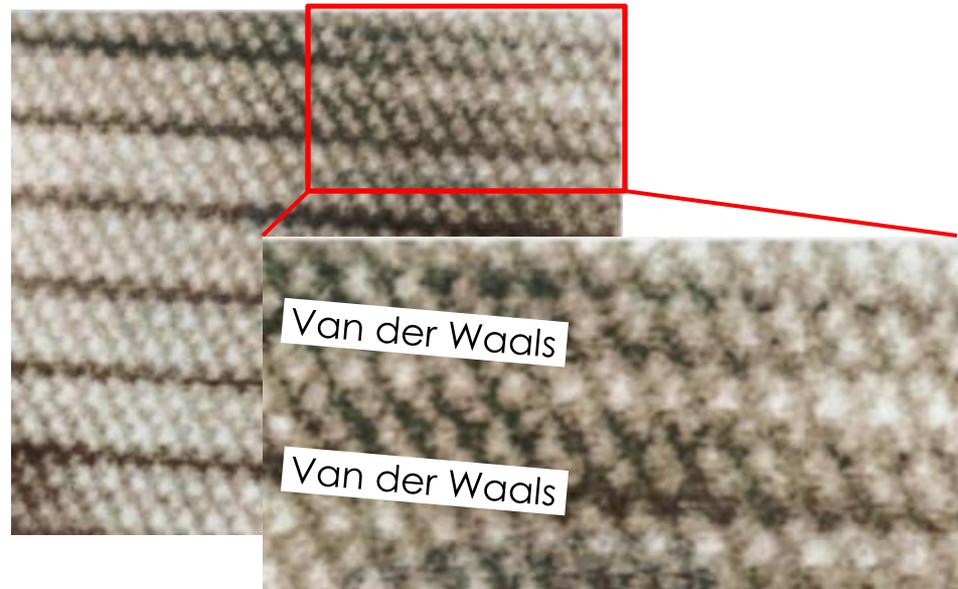
# In-situ TEM analysis for nanostructuring

## Mechanism study by Pb/BST thin film: nanostructuring by Pb diffusion



Before heating (BST)

350°C heating (Pb-BST)



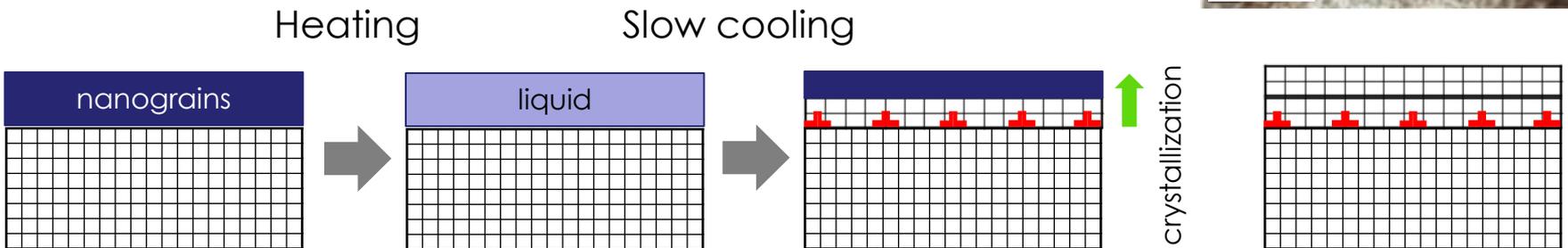
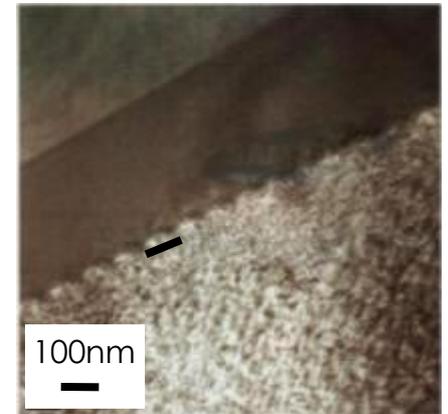
# $\kappa$ reduction: semi-coherent interface formation

## Nanostructured ribbon by melt spinning



## Bulk with strained interface

LPER: Liquid Phase Epitaxy Regrowth

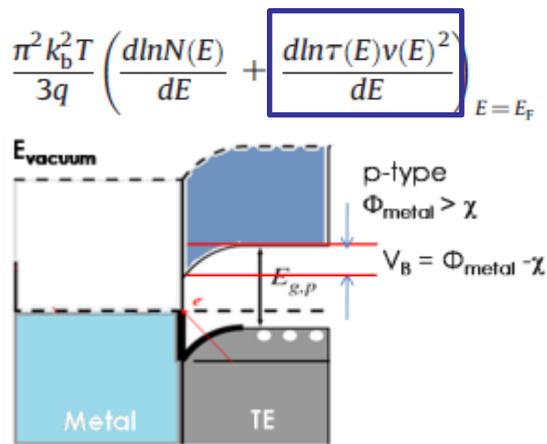


Ongoing Research

# Mechanisms for $S$ enhancement in bulk

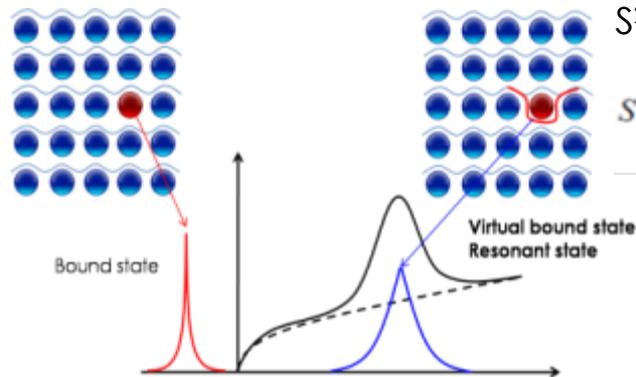
Mechanism	Theory	Simulation	Material
<b>Carrier filtering</b>	[1999] Thermionic emission current in heterostructures	[2008] Band bending at PbTe/metal interfaces	[2009] Bulk(PbTe) [2010] Bulk(skutterudite) [2011] Bulk(TAGS) [2011] Pt-Sb <sub>2</sub> Te <sub>3</sub>
<b>Resonant State</b>	[1956] Virtual bound (resonant) state by doping [1996] DOS engineering	[2006] Doped PbTe	[2008] TI-doped PbTe [2009] Sn-doped Bi <sub>2</sub> Te <sub>3</sub>

## Carrier filtering effect



S.V. Faleev, *Phys. Rev. B* 77, 214304 (2008)

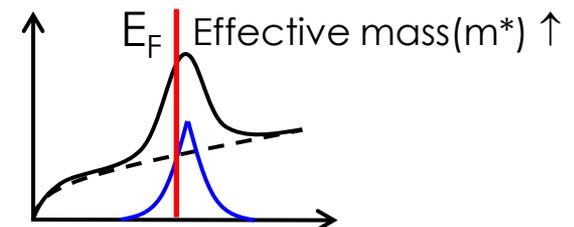
## Resonant state



J. Friedel, *J. Physics*, 1956

If  $E_F$  is tuned to near a peak in DOS,  $S^2\sigma$  would be sharply increased!

$$S = \frac{\pi^2}{3} \cdot \frac{k_B}{e} \cdot k_B T \left[ \frac{g(E)}{n(E)} \cdot \frac{1}{\mu(E)} \cdot \frac{\partial \mu(E)}{\partial E} \right]_{E=E_F}$$

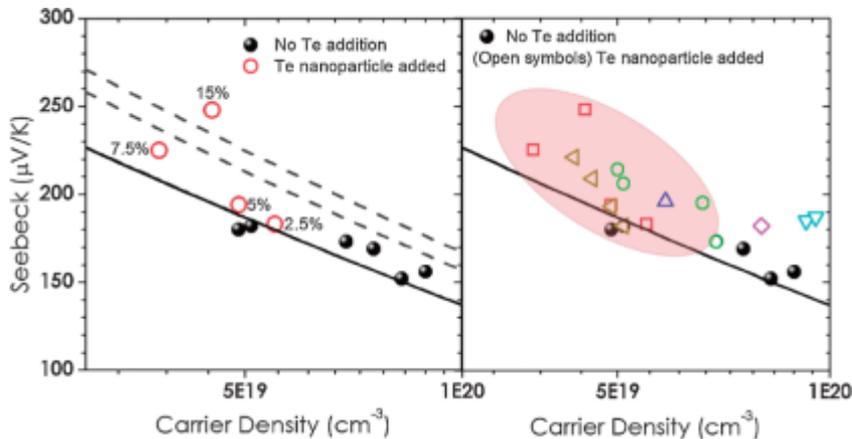
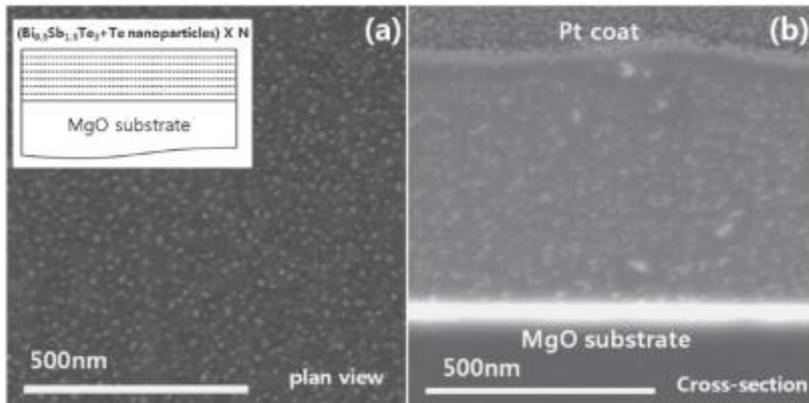


ORNL, PNAS, 1996

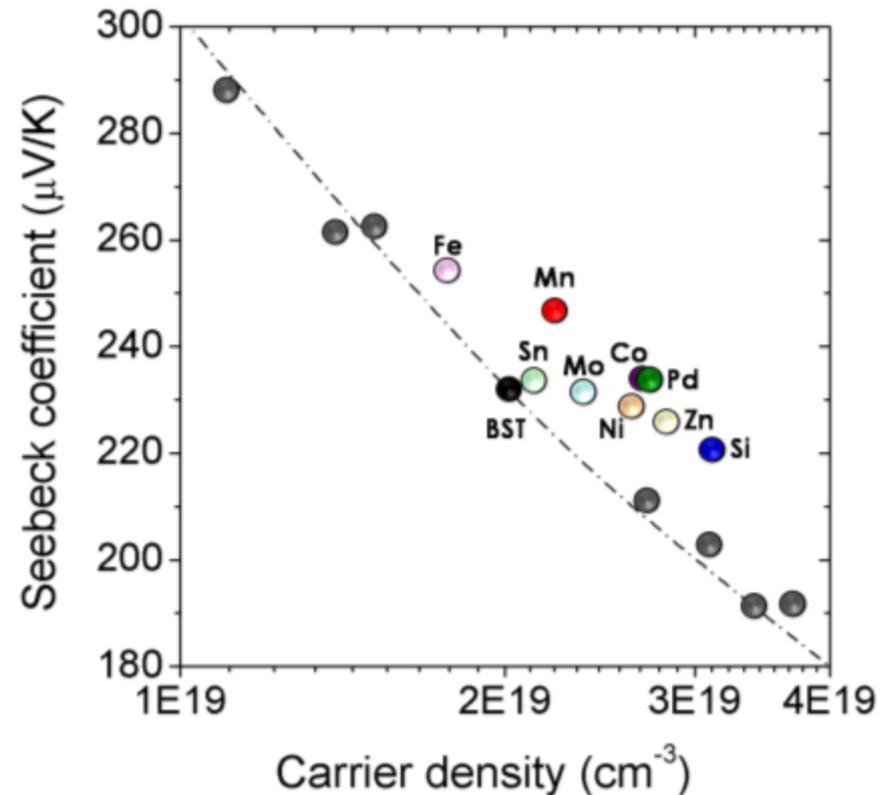
# S enhancement : carrier filtering effect

## Results on the simulation & model experiment (thin film) : BST + nanoparticles

1. Required work function value of metal nano-particle: 3.5-4 or 5-5.5 eV
2. Optimum size & volume fraction : 5nm / 5vol.%

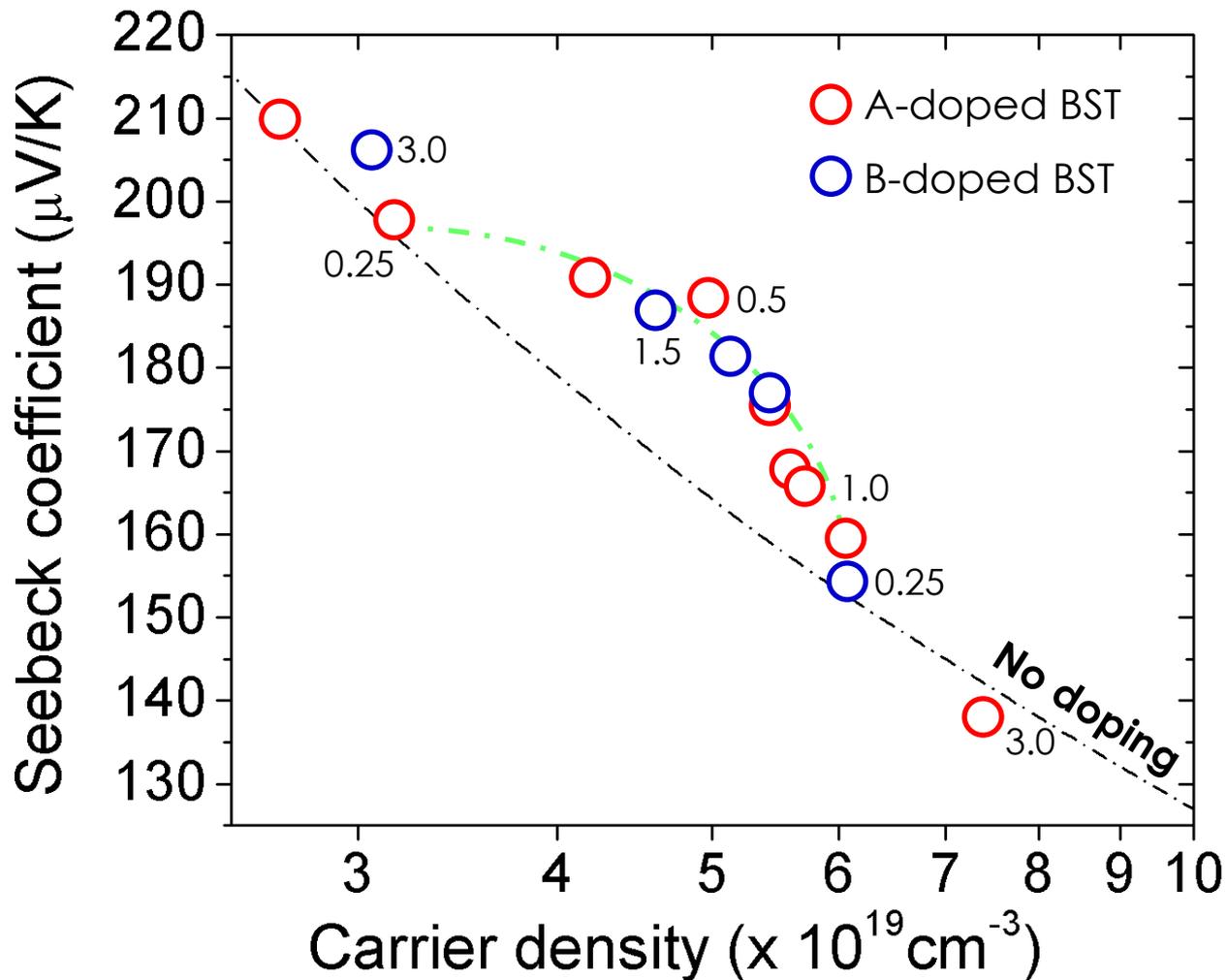


## Experimental evidence on S enhancement in bulk nanocomposite



# S enhancement : resonant state formation

## Results on simulation & experiment in doped BST



Ongoing Research

# Applications

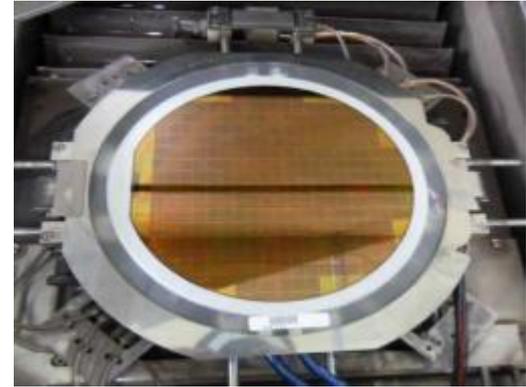
Memory test chamber



CPU cooler



Cold chuck



Extremely low T chamber



Water purifier



HVAC

